Lineage-dependent ecological coherence in bacteria

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Abstract
Bacteria comprise an essential element of all ecosystems, including those present on and within the human body. Understanding bacterial diversity therefore offers enormous scientific and medical benefit, but significant questions remain regarding how best to characterize that diversity and organize it into biologically meaningful units. Bacterial communities are routinely characterized based on the relative abundances of taxa at the genus or even the phylum level, but the ecological coherence of these high-level taxonomic units is uncertain. Using human microbiota from the skin and gut as our model systems, we tested the ecological coherence of bacteria by investigating the habitat associations of bacteria at all levels of the taxonomic hierarchy. We observed four distinct taxonomic patterns of habitat association, reflecting different levels of ecological coherence among taxa. Our results support the hypothesis that deep-branch bacterial clades could be ecologically coherent and suggest that the phylogenetic depth of ecological coherence varies among the bacterial lineages and is an important factor to consider in studies of human microbiome associations.

Introduction
Bacteria represent one of the greatest remaining frontiers of undiscovered and uncharacterized diversity on Earth. Most of bacterial diversity is known only from DNA sequences as the vast majority of bacteria have not been cultured (Giovannoni & Stingl, 2005). A key step in studying microbial ecology and evolution is developing methods to organize DNA sequences into biologically and ecologically meaningful taxonomic units. A critical question therefore is at what taxonomic level do bacteria display ecological coherence, i.e. share similar lifestyles or traits that distinguish them from members of other taxa (Philippot et al., 2010).

Recent studies have shown evidence indicating ecological coherence among higher bacterial taxonomic ranks (Hackl et al., 2004; Lozupone & Knight, 2005, 2007; Fierer et al., 2007; von Mering et al., 2007; Danon et al., 2008; Fulthorpe et al., 2008; Jones et al., 2009; Philippot et al., 2009; Pointing et al., 2009). For instance, within the class Alphaproteobacteria the order Rickettsiales appears to be adapted to life inside animal host cells (Ettema & Andersson, 2009). The presence of the phylum Acidobacteria in soil is correlated with low pH (Jones et al., 2009), indicating a possible phylum-wide adaptation to acidic soil conditions. Phylum-level bacterial spatial patterns have also been observed in soils subjected to different farming practices (Philippot et al., 2009). There is also evidence of ecological associations with very deep branches of the bacterial lineage, including ancient divergences between organisms adapted to high and low levels of salinity, as well as between taxa inhabiting aqueous, soil and sediment habitats (Lozupone & Knight, 2005, 2007).

A large-scale comparison of microbial sequences sampled from natural environments revealed statistically significant associations between habitats and taxonomic ranks up to the order level (von Mering et al., 2007).

When studying higher order taxa in bacteria, it is not always clear whether their habitat associations reflect the ecology of most or even many of the species contained within them. It is possible that a few highly abundant species could make it appear that the taxon as a whole was strongly associated with a particular habitat, even if the majority of the species within it had no such association. Interpreting the results of habitat association studies requires a better understanding of how uniformly associations among higher order taxa are reflected among the member species. However, the ecological coherence of taxa above the species level has not been explicitly tested (Philippot et al., 2010), leaving open the question of how
Determining the depth of ecological coherence should also elucidate the origins of microbial ecological diversity. It has been established that not all ecological transitions are equally probable for bacterial populations (Lozupone & Knight, 2007; Cohan & Koeppel, 2008; Martiny et al., 2009). Ecological coherence in deep lineages should indicate rare and early evolutionary transitions across habitats while ecological coherence at lower taxonomic levels should suggest more frequent and recent habitat transitions.

The primary aim of this study was to investigate the depth of ecological coherence in the bacterial taxonomic hierarchy. We chose to analyse sequence data from the human microbiome for the following reasons. First, examining the depth of ecological coherence in bacteria has clear implications for ongoing human microbiome research. A key focus of human microbiome studies is whether differences in the microbial communities can be related to health and disease states (Turnbaugh et al., 2007). This is commonly done using association studies, in which the relative abundances of higher order taxa, such as genera, orders or phyla are compared between cases and healthy controls (Grice et al., 2009; Hartman et al., 2009; Turnbaugh et al., 2009; Dominguez-Bello et al., 2010). Association studies have shown, for example, that shifts in higher order community structure can be associated with important human health conditions, including diabetes (Giongo et al., 2011), obesity (Ley, 2005; Ley et al., 2006; Cani et al., 2007), cancer (Turnbaugh et al., 2007) and cardiovascular disease (Ordovas & Mooser, 2006). Our work addresses the following question: Do these putative higher order associations truly reflect taxon-wide ecological properties, or might they instead reflect the habitat associations of a few highly abundant lower level taxa contained within them? We hypothesize that the latter will be true for high-order associations in at least some taxa.

The second advantage of using human microbiome data for studying ecological coherence is the availability of deeply sequenced datasets. Deep sequencing is critical for determining the taxonomic level of ecological coherence (Vogel et al., 2009; Philippot et al., 2010). As we divide up taxa into units of lower and lower ranks, the number of sequences that belong to each unit will keep diminishing. Deep sequencing is therefore necessary for meaningful statistical analysis of the minority and lower order taxa. Due to limited sampling (inadequate efforts or techniques) (Philippot et al., 2010), some of the earlier habitat-association studies were limited either in scope, because only the dominant members were characterized, or in depth, because of lack of resolution at low taxonomic ranks. Consequently in these studies, the evidence for high-level associations is not conclusive.

Higher order habitat associations have been reported for human skin (Grice et al., 2009) and gut (Ley et al., 2006) microbiota. Grice et al. found that the abundance of higher order taxa varied with skin type. Specifically, they noted that different phyla and genera were in greatest abundance on moist skin, dry skin and sebaceous skin. Ley et al. observed an increase in the abundance of the phylum Bacteroidetes and a corresponding decrease in Firmicutes abundance as subjects lost weight. Both datasets offer deep sequencing of nearly full-length 16S rRNA genes, making it possible to characterize bacterial diversity at relatively fine scales. Using these two datasets, we investigated the depth of ecological coherence by testing whether patterns observed at higher taxonomic ranks are maintained at lower ranks. We performed a comprehensive test of the ecological coherence of taxa by comparing the habitat associations along the entire hierarchy, from the phylum level all the way to species [as approximated by operational taxonomic units (OTUs)].

Materials and methods

Sequence datasets

Two 16S rRNA gene sequence datasets were analysed in this study. The skin microbiome study (Grice et al., 2009) sampled skin bacteria from 10 healthy volunteers, each of which were sampled at 21 different skin sites, including moist, dry and sebaceous skin. This set contained 116 391 sequences. The gut microbiome study (Ley et al., 2006) sampled gut bacteria from 12 obese individuals and two lean controls over a time course of 52 weeks during which the obese subjects undertook one of two weight-loss regimens. This dataset contained 18 052 sequences. Both datasets consisted of Sanger sequenced, near full-length (minimum of 1250 bp) 16S rRNA gene sequences. The two datasets were retrieved from GenBank, along with the metadata for each sequence.

Sequence alignment, classification and clustering

16S rRNA gene sequences were aligned using the Ribosomal Database Project (RDP) and classified to the genus level using RDP Classifier, version 2 (Wang et al., 2007), set at the default parameters. Sequences from the same genera (as identified by RDP) were further subdivided into OTUs by complete-linkage clustering using mothur (Schloss et al., 2009). OTUs were generated using sequence identity cut-offs of 97% and 99%. We chose
these cut-offs because they are commonly used and accepted approximations of bacterial species (Stackebrandt & Ebers, 2006; Fierer et al., 2008; Hamady & Knight, 2009).

**Analysis of habitat associations**

Taxa at all levels were checked against the available metadata to determine their habitat associations. The skin data were tested for associations with a particular skin type (moist, dry or sebaceous skin; see Grice et al., 2009 for classification of 21 skin sites). For each taxon, habitat associations were assessed using the indicator value index, using the IndVal metric (Dufrene & Legendre, 1997). IndVal is a powerful tool for detecting statistically significant associations between taxa and habitats, and has been used to detect such associations in microbial taxa (Hartmann et al., 2009; Auguet et al., 2010; Cardenas et al., 2010). The inputs for the INDVAL software for a given taxon were the counts of sequences belonging to that taxon at each skin site on each subject. Counts were normalized by the total sequencing effort for each subject at each skin site. The value of the IndVal index is computed by factoring in both the specificity of a given taxon to each site group (i.e. how abundant the taxon is in the target group compared with other groups) and the fidelity of the taxon to the group (i.e. the fraction of samples within the group containing the taxon). The software then tests the significance of the IndVal by comparing the observed IndVal against a number of permutations (10 000 in our case) in which the samples are randomly reallocated among groups. Significance is determined both by a t-test comparing the observed result with the mean result from the permutations, and by the rank order of the observed IndVal among the permutation IndVals. Only associations that were significant at the $P < 0.05$ level by both tests were considered statistically significant in our study.

The sequences of the gut dataset were tested for associations with both lean subjects and obese subjects. The obese group was defined as the set of 12 obese subjects at the starting time point (before any weight loss). The lean group consisted of the same 12 subjects sampled 52 weeks later (after weight loss), combined with all sequences sampled from the two lean control subjects. The same IndVal analysis was used to detect associations and determine their significance.

We tested the ecological coherence of taxa in each dataset by determining whether the habitat associations found for the entire taxon were shared by subtaxa within it. Taxa were analysed hierarchically from the phylum level down to the species level. To obtain meaningful statistical results, taxa at each taxonomic rank down to the genus level were analysed only if they contained at least 100 sequences. OTUs were analysed if they contained at least 50 sequences. High-level taxa (phylum, class, order and family) containing at least 500 sequences were subdivided to the next taxonomic rank. Only the most abundant genera in each phylum were further subdivided into OTUs.

**Results**

**Habitat associations among phyla**

As reported previously (Grice et al., 2009) the skin microbiome was dominated by the phylum Actinobacteria, with the phyla Firmicutes, Proteobacteria and Bacteroidetes also showing high relative abundance (Fig. 1a). In agreement with previous results, our statistical analyses revealed significant associations between the phyla Actinobacteria, Firmicutes and Proteobacteria and sebaceous, moist and dry skin, respectively. Our phylum-level analysis of the gut dataset was also in agreement with the findings of the original study. The Firmicutes was the dominant phylum overall, and showed a statistically significant association with the obese group. The Bacteroidetes were also highly abundant, and this phylum was significantly associated with the lean group of subjects (Fig. 1b).

We then followed the phylum-level analyses by testing the associations of the lower taxa within each phylum. These hierarchical analyses allowed us to determine whether the phylum-level association persisted throughout each phylum, or instead reflected the underlying pattern of its dominant members. We were thereby able to determine the taxonomic depth of ecological coherence.

**Hierarchical analysis of Actinobacteria skin type associations**

In the phylum Actinobacteria, the association of the entire phylum to sebaceous skin was maintained down through the rank of order (Fig. 2a, Supporting Information, Table S1). The phylum is represented by only a single class in this dataset (class Actinobacteria) and is dominated by a single order that contains 97.9% of the sequences in the phylum (order Actinomycetales). Not surprisingly, these taxa shared the whole-phylum association with sebaceous skin (colored in red). Interestingly, however, the pattern started to diverge at the family level. Two large families, the Propionibacteriaceae and Corynebacteriaceae, dominated Actinomycetales, comprising 47.0% and 51.3% of the sequences, respectively. Propionibacteriaceae shared the whole-phylum association with sebaceous skin, but...
strikingly Corynebacteriaceae showed a statistically significant association with moist skin (colored in blue). Propionibacterium and Corynebacterium, by far the most abundant genera both within their families and in the dataset as a whole, were significantly associated with sebaceous and moist skin, respectively. This result is in agreement with the findings of Grice et al. (2009), who observed that Corynebacterium was the most abundant genus on moist skin, and that Propionibacterium was the most abundant genus on sebaceous skin.

Greater variation in habitat associations was observed for 97% of the OTUs. Of 47 Corynebacterium OTUs containing 50 or more sequences, only six shared the whole-genus association with moist skin. For the majority of the 97% OTUs, no significant skin type association was detected (Fig. 2a). One minority member was actually associated with dry skin (see Fig. 2a, inset). The apparent habitat association of the whole genus largely reflected the patterns of a few abundant members. In the case of Propionibacterium, all but one member shared the association with sebaceous sites found for the genus as a whole (Fig. 2a), although there were only five OTUs containing more than 50 sequences in this genus.

To investigate the ecological coherence of the taxonomic unit at a finer level, we further divided each 97% OTU into its constituent 99% OTUs (Fig. 2, bottom layer). When subdivided, the two most abundant Corynebacterium 97% OTUs were sorted into heterogeneous groups. While some of the more abundant 99% OTUs shared their parent taxon’s association patterns, many OTUs showed no association. For Propionibacterium, the association with sebaceous skin was more consistent among 99% OTUs. Ecological coherence at the finer taxonomic scale appears to be lineage-dependent.

**Hierarchical analysis of Proteobacteria and Firmicutes skin type associations**

The hierarchical analysis of Proteobacteria returned very different results. In this phylum, the association with dry skin appeared to derive from the highly abundant order Burkholderiales, in which the association was maintained all the way to the 99% OTU level (Fig. 2b, Table S2). The only other abundant order in this phylum was Neisseriales, which showed no significant association with any skin type, although the genus Neisseria within that order was associated with dry skin.

Firmicutes showed another distinct result. The phylum as a whole showed an association with moist skin, and Bacilli, the phylum’s most abundant class, shared the phylum-level association with moist skin. Intriguingly, the subtaxa within Bacilli lacked association with any skin type all the way to the genus level. However, several 97% OTUs within Staphylococcus showed associations to either moist or sebaceous skin (Fig. 2c, Table S3). In this case the mix of different associations at the 97% OTU level explains the lack of association at the higher levels. The hierarchical analysis of 99% OTUs in Staphylococcus returned similar results to those of Corynebacterium, with some taxa predicting the associations of their subgroups but others not. These examples further reinforce our interpretation of the Actinobacteria results, i.e. that the taxonomic depth of ecological coherence with respect to these particular habitats is lineage-specific.

**Hierarchical analysis of obese and lean gut associations**

We performed the same hierarchical analysis on the gut microorganism dataset. Nearly all the Firmicutes sequences...
in this dataset (96.36%) belonged to the order *Clostridiales* (Fig. 3a). As the class *Bacilli*, the only other abundant taxon, lacked an association, we conclude that it is in fact the order *Clostridiales* and not the entire phylum *Firmicutes* that is associated with the obese gut environment. The association with obese subjects seems to disappear below the rank of order. None of the individual families showed a statistically significant difference in relative abundance between the lean and obese groups (Fig. 3a, Table S4). Of the abundant genera in this phylum, only the genus *Dorea* showed a significant association with the obese group. As this genus is not particularly abundant, however, it is unlikely to explain the apparent association of the entire order. Among *Bacteroidetes*, all abundant taxa from phylum down to family showed a strong association with the lean group (Fig. 3b, Table S4). The genus *Bacteroides* shared the association with the lean group, but the genus *Prevotella* showed no association with either the lean or the obese group. No individual 97% or 99% OTUs from this phylum were abundant enough to meet our criteria for statistical analysis, and so analysis was stopped at the genus level.

**Discussion**

Our hierarchical analysis of habitat associations revealed four broad patterns, described below, and illustrated in Fig. 4:

1. Habitat association of a taxon is maintained among all or nearly all of its constituent subtaxa (for example,
Hierarchical analyses of gut habitat associations. Graphs are formatted exactly as in Fig. 2, but with different color-coding to reflect gut habitat associations (see key).

Fig. 3. Hierarchical analyses of gut habitat associations. Graphs are formatted exactly as in Fig. 2, but with different color-coding to reflect gut habitat associations (see key).

the associations of the phylum Bacteroidetes with lean subjects, the order Burkholderiales with dry skin and the family Propionibacteriaceae with sebaceous skin).

(2) Parent taxon has a significant habitat association but few or none of its subtaxa have any associations at all (for example, the association of the order Clostridiales with obesity).

(3) Habitat association of a taxon is shared by only a few of its constituent subtaxa. Most subtaxa have either no association or their associations are different from that of the parent taxon (for example, the association of the genus Corynebacterium with moist skin).

(4) Parent taxon has no habitat association but many of its subtaxa do have significant habitat associations (for example, the genus Staphylococcus, which itself has no association with any skin type, but contains numerous OTUs that are associated with either moist skin, or sebaceous skin).

It has been shown that in macroorganisms both spatial and taxonomic scales influence the observed phylogenetic structure of community assemblages (Keddy & Weiher, 1999; Silvertown et al., 2001, 2006; Cavender-Bares et al., 2004, 2006). Habitat filtering and competitive exclusion are two important processes central to the assembly of communities. They can occur simultaneously in real communities but their relative predominance depends on the scales of the communities under study. Habitat filtering, in which closely related species share habitats due to inherited ecological similarity, is thought to play an important role in community assembly (Weiher & Keddy, 1995; Webb et al., 2002) and tends to dominate on broader spatial and phylogenetic scales whereas competitive exclusion is more important in local communities of closely related species (Cavender-Bares et al., 2006). Our analyses revealed similar trends in the phylogenetic structures of bacterial communities. For example, at higher taxonomic ranks both pattern-1 and pattern-2 taxa show habitat associations. This is consistent with the idea that they may possess traits originating deep in the history of their lineage that confer similar ecological properties, resulting in niche conservatism and habitat filtering, as observed previously (Horner-Devine & Bohannan, 2006; Newton et al., 2007; Bryant et al., 2008; Auguet et al., 2010; Barberán et al., 2012). Pattern-1 taxa meet our definition of ecological coherence, because associations are maintained through the taxonomic hierarchy. However, there are also reasons to consider pattern-2 taxa as ecologically coherent. Pattern-2 taxa could reflect an overall preference of a taxon for a particular habitat due to habitat filtering, but one that, although significant, is not strong enough to be detectable among its subtaxa, and so is only apparent when taxa are viewed in aggregate.

It is by no means our intention to suggest that these pattern-1 and pattern-2 taxa are homogeneous over all aspects of their ecological niche, only that they display coherence with respect to certain specific ecological parameters examined in this study. Ecological coherence is a relative term when applied to higher order taxa. In most cases, a taxon will be coherent with respect to some ecological factors but not to others. In datasets with multiple ecological factors to consider, each could be assessed independently. Alternatively, information from multiple ecological factors could be incorporated into more complex definitions of habitat using software such as ADAPTML (Hunt et al., 2008). Taxa could then be

![Fig. 3](image_url)
tested for their associations with these aggregate habitats.

We argue that pattern-3 or pattern-4 taxa are not ecologically coherent with respect to the ecological parameters represented by these habitats because the habitat associations of the subtaxa are heterogeneous. Within these taxa, transitions between habitats among species seem to have taken place relatively recently. In pattern-3, the parent taxon’s habitat association reflects those of a few highly abundant subtaxa, and not necessarily the majority of its members. Although heterogeneity was observed at different levels of organization, in both high- and low-level taxa, it is of particular interest to note that habitat associations appear to diversify at the 97% OTU level. For example, both the genera Corynebacterium and Staphylococcus contained members with significant associations distinct from those of the whole genus. Previously reported results (Ward et al., 2006; Goris et al., 2007; Hunt et al., 2008; Koeppel et al., 2008; Connor et al., 2010) have shown that ecologically important diversity can also exist below the level of traditionally defined species.

The observation of pattern-3 or pattern-4 for a taxon may indicate that the taxon is evolutionarily labile with respect to the habitat under study. For example, our results for the class Bacilli suggest that transitions between moist and sebaceous skin habitats are routine in that taxon. Transitions between these habitats appear to have occurred in the recent past, because many of the 97% OTUs showed different associations, even within the same genus. By contrast, in the class Actinobacteria, the same transition appears to be more difficult, with one major shift from sebaceous skin to moist skin at the family level. Past studies of bacterial systems have shown similar results. For example, in marine Prochlorococcus it has been shown that the transition between high-light and low-light habitats occurs at greater phylogenetic depth than the transition between different temperature optima, which in turn occurs at greater depth than transitions between habitats with different nitrate concentrations (Martiny et al., 2009).

Our results go further in one respect, by showing that the taxonomic depth of ecological coherence for the same classes of habitat is lineage-specific. For some lineages, deeper clades of various ranks possess ecological coherence, but for others, even genera appear to lack ecological coherence. We found evidence of coherence above the species rank, at the phylum (e.g. Bacteroidetes), order (e.g. Burkholderiales) and family (e.g. Propionibacteriaceae) levels. Our study supports the notion that high-level bacterial taxa can be ecologically relevant (Philippot et al., 2010). In fact, for pattern-2 taxa, because the ecologically meaningful patterns are discernible only at high taxonomic ranks, we argue that analysis of high-level bacterial community structure is not only useful but also necessary for predicting ecosystem function. While in other cases (e.g. pattern-3 and pattern-4), the apparent ecological associations of a higher taxon are not always predictive of the associations of the subtaxa within them. One explanation for this observation may be that the criteria for defining higher order taxa are themselves arbitrary. What is designated a genus or family within one lineage might encompass as much diversity as a family or order in another. Regardless of the reason, it is clear that association studies that use only high-order taxa risk missing the most important features of a community’s diversity and can even be misleading.

These findings challenge the methods by which communities of human microbiota are currently characterized in association studies. Such studies generally compare communities based on the relative abundances of taxa at one level, usually at or above the genus. Our results suggest that the choice of taxonomic level used to define the operational unit is a very important factor to consider. To truly understand whether and how the composition of the microbiome affects human health, it is necessary to dissect the biological patterns along the entire taxonomic hierarchy, including the very fine scales of microbiome diversity. This will not only help us tease biological meaningful taxa apart from the ‘hitchhikers’, but also elucidate the phylogenetic depth where the ecological selection is acting.

The hierarchical analysis proposed here requires sequences to be sampled from a reasonable number of individuals and also with a reasonable depth of coverage. This can be readily achieved with next-generation sequencing technology (Kuczynski et al., 2010). Another important consideration is the amount of metadata to collect. Our statistical analyses of the skin data showed that although many taxa are associated with particular skin types, many others showed no associations. This is probably because skin moisture level is only one of many potential ecological parameters on human skin (Grice & Segre, 2011). Having access to more ecological data will certainly refine our ability to determine the ecological coherence of taxa.

In conclusion, our results demonstrate that the taxonomic depth of ecological coherence with respect to any particular trait can vary greatly between lineages. To get a complete picture of the structure of a bacterial community, it is necessary to perform comprehensive taxonomic analyses as we have done here. Demonstrating associations of higher order taxa alone may be informative depending on whether that taxon is an ecologically coherent unit with respect to the environment being studied.
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References


**Supporting Information**

Additional Supporting Information may be found in the online version of this article:

**Table S1.** IndVal results for taxa of the phylum *Actinobacteria.*

**Table S2.** IndVal results for taxa of the phylum *Proteobacteria.*

**Table S3.** IndVal results for taxa of the phylum *Firmicutes.*

**Table S4.** IndVal results for taxa of the obesity dataset.

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